

## LINCOLN LEGAL SERVICES (MYANMAR) LIMITED

## **SEMINAR INVITATION:**

## MAKING THE CAPITAL MARKET WORK FOR YOU

| Date and time | Sunday, 16 <sup>th</sup> July 2017, 1:00pm - 3:00pm                          |
|---------------|--|
| Place         | Sule Shangri-La, 223 Sule Pagoda Road, Yangon                                |
|               | Dr. Alexander Pulte  |
| Speaker       | Professor and Dean (International), UBIS University, Switzerland             |
|               | Partner at Lincoln Legal Services  |
| Language      | English (Myanmar translation will be provided during the Q&A session)        |
| Entrance fee  | Free   |
| Registration  | Please register by sending an e-mail to info@lincolnmyanmar.com, stating the |
|               | name of your company and the names of the participants.                      |

Trading on the Yangon Stock Exchange started a little over a year ago, but not many companies are listed yet and returns for investors have often been negative. This situation will likely change over the coming months and years as more companies discover the advantages of tapping into the resources offered by capital markets and as increased investor interest will often lead to higher valuations.

This course aims to introduce a broader audience to the opportunities and risks involved in capital market transactions. It will discuss the characteristics of different securities (such a stocks, bonds, and stock options) and explain how the law protects investors. Companies that might want to sell securities to the public will learn about this process, about the importance of disclosure, and the risks involved when investors are misled. Individuals and companies that think about investing in securities will learn what to look for in corporate information, how to evaluate companies, and how to compile an investment portfolio that corresponds to their individual risk profile.

## **Prof. Alexander Pulte**

Prof. Pulte is a specialist on Securities and Corporate Markets. He has worked as a New York attorney on Wall Street for several years and advised many securities issuers and underwriting banks. Among these transactions was the largest initial public offering of an American utilities company to date and capital increases for various international issuers with a value of over \$15 billion. He also advised banks in issuing over \$40 billion in debt, some of it guaranteed by sovereign governments. He moved to Myanmar in January 2014 and is currently Partner with Lincoln Legal Services. He has shared his knowledge since 2011 as a faculty member and visiting lecturer of various international universities, including Columbia University in New York and the Yangon Institute of Economics. He is Dean of the University of Business and International Studies (UBIS) in Geneva and represents the university in partnerships across Asia.